

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)

PATENT  
APPLICATION

In re PATENT APPLICATION of  
Inventor: ASHFORD et al.  
Appln. No.: 09/648,582  
Filed: August 25, 2000  
Title: "Method and Apparatus for Providing Incentives to Physicians"

Attn: Application Division

Atty. Dkt. 018624  
(Our Deposit Account N. 03-3975)  
(Our Order No. 0269901 TMC# CD-001 Client Ref)

Date: February 7, 2001

Asst. Commissioner for Patents  
**BOX MISSING PARTS**  
Washington, D.C. 20231



Sir:

The following completes the filing under Rule 53(f) of the above-identified patent application:

1. Notice to File Missing Parts ☒ copy enclosed ☐ not yet received
2. ☒ Signed Declaration enclosed ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded assignment to the undersigned.
4. ☒ Attorney for the Applicant hereby asserts qualification for small entity status.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

5. Basic Filing Fee.....	\$710 / 355	\$ 355.00	101/201
6. Total Claims 55 minus 20 = 35	x \$18/\$09	+ 315.00	103/203
7. Independent Claims 5 minus 3 = 2	x \$80/\$40	+ 80.00	102/202
8. Surcharge for filing Declaration/filing fee late	\$130/\$65	+ .00	105/205
9. FILING FEE ENCLOSED =		\$ 750.00	
10. Original due date: January 9, 2001			
11. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.	\$110/55 \$380/190 \$870/435 \$1510/755	\$55.00	
11. If "assignment" box is X'd, add recording fee.....	\$40	+ 40.00	581
13. TOTAL FEE: =		845.00	

CHARGE STATEMENT: The Commissioner is hereby authorized to charge any missing or insufficient fees required under Rules 16-18 relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

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Ninth Floor, East Tower  
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Tel: (650) 233-4790  
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PILLSBURY WINTHROP, LLP  
By: David A. Jakopin, Reg. No. 32,995

*David A. Jakopin*

02/16/2001 BNGUYEN1 00000124 09648582

04 FC:215

55.00 OP

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on February 7, 2001.

*Jeanette Walker-Cooks*  
Jeanette Walker-Cooks



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/648,582	08/25/2000	Clint Ashford	18642/269901 CD-001

Pillsbury Madison & Sutro LLP  
Ninth Floor East Tower  
1100 New York Avenue  
Washington, DC 20005-3918



## FORMALITIES LETTER



\*OC00000005542341\*

Date Mailed: 11/09/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$786.
  - \$630 for 35 total claims over 20.
  - \$156 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1606.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/16/2001 BNGUYEN1 00000124 09648582  
01 FC:201 355.00 OP  
02 FC:203 315.00 OP  
03 FC:202 80.00 OP  
04/20/2001 TVU111 00000044 033975 09648582  
05 FC:205 65.00 CH

